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Customer Number: 000959  
Attorney's Docket No. D/A0978/XXT-116

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Sudhendu Rai and Daniel Viassolo

**Serial No.:** 09/772,118

**Filing Date:** January 26, 2001

**Title:** PRODUCTION SERVER ARCHITECTURE AND METHODS FOR  
AUTOMATED CONTROL OF PRODUCTION DOCUMENT MANAGEMENT

\*\*\*\*\*

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date set forth below.

April 10, 2001

By Peter Stecher

Date of Signature  
and of Mail Deposit

Peter S. Stecher  
Registration No. 47,259

\*\*\*\*\*

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NON-PROVISIONAL APPLICATION**

Dear Sir:

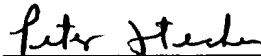
Responsive to the Notice to File Missing Parts of Non-Provisional Application dated March 8, 2001, Applicants' attorney submit herewith the executed Declaration and Power of Attorney for Patent Application for the above-identified patent application. Please charge Deposit Account No. 24-0037 for the \$130.00 surcharge fee based on large entity status.



Serial No. 09/772,118

Please charge any underpayments or credit any overpayments associated with this communication to Deposit Account No. 24-0037. A duplicate of this letter is enclosed.

Respectfully submitted,



---

Peter S. Stecher

Registration No. 47,259

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400  
Dated: April 10, 2001





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(3)

In re the application of: Sudhendu Rai and Daniel Viassolo

Serial No.: 09/772,118

Filed: January 26, 2001

For: PRODUCTION SERVER ARCHITECTURE  
AND METHODS FOR AUTOMATED CONTROL  
OF PRODUCTION DOCUMENT MANAGEMENT

Attorney Docket No.: D/A0978/XXT-116

Group Art Unit: 2176

Examiner: Not Yet Assigned

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the Attorney's Docket Number as "XXT-116" instead of "D/A0978/XXT-116". Also, the address for Daniel Viassolo is Penfield, NY. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

April 10, 2001  
Date

*Peter Stecher*  
Peter S. Stecher, Reg. No. 47,259

Respectfully submitted,

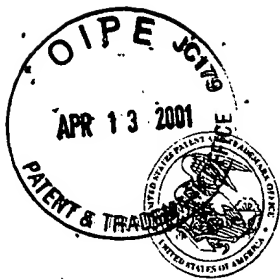
LAHIVE & COCKFIELD, LLP

*Peter Stecher*

Peter S. Stecher  
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PSS/amg  
Enclosures





(3)

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/772,118	01/26/2001	2176	1496	XXT-116 DA0978/XXT-116	15	37	9

CONFIRMATION NO. 8987

## FILING RECEIPT



\*OC000000005840938\*

000959

LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

Date Mailed: 03/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sudhendu Rai, Fairport, NY;  
Daniel Viassolo, ~~Residence Not Provided~~, Penfield, NY

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

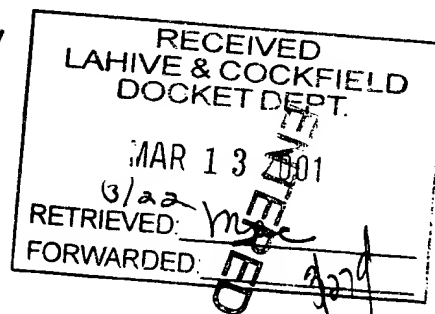
Early Publication Request: No

## Title

Production server architecture and methods for automated control of production document management

## Preliminary Class

707







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&  
COCKFIELD  
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ASHITA DOSHI, Ph.D.  
JACOB G. WEINTRAUB

\* Admitted in NY only  
\*\* Passed the Patent Bar Examination

April 10, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Re: U.S. Patent Application Serial No. 09/772,118  
Applicants: Sudhendu Rai and Daniel Viassolo  
Filed: January 26, 2001  
Title: PRODUCTION SERVER ARCHITECTURE AND METHODS FOR  
AUTOMATED CONTROL OF PRODUCTION DOCUMENT MANAGEMENT  
Attorney Docket No.: D/A0978/XXT-116

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application;
2. Executed Declaration and Power of Attorney;
3. Copy of Notice To File Missing Parts of Application;
4. Request for Correction of Filing Receipt;
5. Marked up copy of Filing Receipt; and
6. Return Postcard.

Please charge any necessary fees to our Deposit Account No. 24-0037. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

April 10, 2001

Date

*Peter Stecher*

Peter S. Stecher, Reg. No. 47,259

Respectfully submitted,

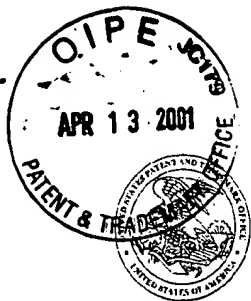
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PSS/amg  
Enclosures





(3)

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,118	01/26/2001	Sudhendu Rai	XXT-116

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 8987

## FORMALITIES LETTER



\*OC000000005840939\*

Date Mailed: 03/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a):

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/16/2001 JADD01 00000050 240037 09772118

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